PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Date

09/29/2003

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 37-1 Attorney Docket No. UTILITY MAIR, Robert W. PATENT APPLICATION First Inventor Improved Piano Humidstat TRANSMITTAL Title EU918147920US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 7. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 1. 🔽 Computer Program (Appendix) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission 2. (if applicable, all necessary) a. Computer Readable Form (CRF) See 37 CFR 1.27. [Total Pages\_ 11 3. 🗹 Specification (preferred arrangement set forth below) Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, CD-ROM or CD-R (2 copies); or or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention c. Statements verifying identity of above copies - Brief Description of the Drawings (if filed) - Detailed Description **ACCOMPANYING APPLICATION PARTS** - Claim(s) - Abstract of the Disclosure 9. 🗀 Assignment Papers (cover sheet & document(s)) Power of 4. Drawing(s) (35 U.S.C. 113) [Total Sheets \_ 37 CFR 3.73(b) Statement 10. Attorney (when there is an assignee) English Translation Document (if applicable) 5. Oath or Declaration [Total Sheets \_ Copies of IDS a. Newly executed (original or copy) Information Disclosure 12. V Statement (IDS)/PTO-1449 Citations b. Copy from a prior application (37 CFR 1.63(d)) Preliminary Amendment Return Receipt Postcard (MPEP 503) (for continuation/divisional with Box 18 completed) (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 16. 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 6. 🔽 17. Other: ..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation-in-part (CIP) of prior application No.: ..... Continuation Divisional Examiner\_ Prior application information: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS V 28,205 OR Correspondence address below **Customer Number:** Name J. Derel Monteith, Jr. Carter Schnedler & Monteith, P.A Address Post Office Box 2985 Zip Code State | North Carolina City 28802 Asheville Fax Telephone 828-252-6225 828-252-6316 Country USA Registration No. (Attorney/Agent) 45,464 Name (Print/Type) J. Derel Monteith, Jr.

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature

PTO/SB/17 (01-03)
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Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	459.	00
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Complete if Known			
Application Number			
Filing Date	09/29/2003		
First Named Inventor	MAIR, Robert W.		
Examiner Name			
Art Unit			
Attorney Docket No.	37-1		

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The Commissioner is authorized to: (check all that apply)	
Charge fee(s) indicated below Credit any overpayments 1812 2,520 1812 2,520 For ming a request for expanding and request f	
Charge any additional fee(s) during the pendency of this application   1804 920* Requesting publication of SIR prior to Examiner action	
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to the above-identified deposit account.	
FEE CALCULATION 1251 110 2251 55 Extension for reply within first month	
1 BASIC FILING FEE	
Large Entity Small Entity 1253 930 2253 465 Extension for reply within third month	
Code (\$)   Code (\$)	
1001 750 2001 375 Utility filing fee 1255 1,970 2255 985 Extension for reply within fifth month	
1002 330 2002 165 Design filing fee 1401 320 2401 160 Notice of Appear	
1003 520 2003 260 Plant filing fee 1402 320 2402 160 Filing a brief in support of an appeal	
1004 750 2004 375 Reissue filing fee 1403 280 2403 140 Request for oral hearing	
1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 375.00   1452 110   2452 55 Petition to revive - unavoidable	
1453 1.300 2453 650 Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from 1501 1,300 2501 650 Utility issue fee (or reissue)	
Extra Claims below Fee Paid 1502 470 2502 235 Design issue fee  Total Claims 20 -20** = 0 X = 1503 630 2503 315 Plant issue fee	
Independent 5 3 4 42 00 54 00	
Claims 1460 130 Petitions to the Commissioner	
1807 SU 1607 SU Processing fee under St Ctr (1.17(q)	-
Large Entity   Small Entity   Small Entity   1806   180   1806   180   1806   180   Submission of Information Disclosure Stmt   1806   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   180   1806   1	
Code (\$) Code (\$)  8021 40 8021 40 recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1809 750 2809 375 Filing a submission after final rejection	
1201 84 2201 42 Independent claims in excess of 3 (37 CFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
1204 84 2204 42 ** Reissue independent claims over original patent 1801 750 2801 375 Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent 1802 900 1802 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 84.00 Other fee (specify)	
SUBTOTAL (2) (\$) 84.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	

SUBMITTED BY				(Complete	(if applicable)
Name (Print/Type)	J. Derel Monteith, Jr.	Registration No. (Attorney/Agent)	45,464	Telephone	(828) 252-6225
Signature	2 Sculet	all	/	Date	09/29/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First N	amed Inventor	MAIR, Robert W.	
Title	Improved Pi	Improved Piano Humidistat	
Atty Docket Number		37-1	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

09/29/03

Date

/ Śignature J. Derel Monteith, Jr.

Registration No. 45,464

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.